

Event Vetting

Government employees, particularly high-level officials like United States Attorneys, are subject to ethical restrictions governing the types of events they may attend. Some restrictions relate to benefits, including luncheons, dinners, awards, and tokens of appreciation they may accept. Other restrictions relate, among other things, to participation in events that are sponsored by persons who do business with or lobby the Government; that have a fundraising component; where it may appear that official attendance is being used to promote the event or the sponsor; or where elected officials may be in attendance. To assist us in vetting compliance with government ethical restrictions, we would appreciate it if you could provide the following information. If you do not have specific information for each response, please provide such general or descriptive information as you currently have. Please do not hesitate to call the Office's Government Ethics Advisor, (b)(6), (b)(7)c, at (b)(6), (b)(7)c or e-mail him at (b)(6), (b)(7)c to discuss this.

1. Name, date and time, and location of event.

10th Annual Leadership Awards Gala / 20 years of SABANY
Wednesday, September 21, 2016 - 6:00pm
Guastavino's, 409 East 59th Street, New York, NY

2. Format of event (*e.g.*, cocktails; presentations; speeches; and/or meal).

Cocktails, award presentations, speeches, dinner.

3. Estimated cost per attendee of event.

Below are the various levels of ticket prices available to attendees:

- Non-Member - General Admission: \$200.00
- SABANY Member - Private Sector: \$160.00
- SABANY Member - Public Sector, Government, and Law Students: \$105.00

Are any attendees being charged to attend the event?

Yes.

a. If so, who (in general) is being offered free attendance, and who is expected to pay?

- Keynote Speaker and four honorees are not charged, and are permitted one guest for free. Their additional guests receive a discounted rate of \$100.
- Sponsors get tickets depending on the level of sponsorship.
- SABANY officers and directors are not charged and can bring one guest for a discounted rate of \$60.
- Certain invited distinguished guests, such as judges and leaders of the bar, can attend for free.
- All others are expected to pay the ticket prices, as appropriate, indicated in 3 above.

4. Name of entity extending the invitation and link to web site (if any).
South Asian Bar Association of New York (SABANY)
<http://sabany.org/>
- a. Is the entity extending the invitation registered with the Secretary of the Senate and the Clerk of the House of Representatives as a lobbyist pursuant to Section 1603(a) of Title 2 of the United States Code?
No.
5. Sponsor of event if different from above.
Not applicable.
For entities that will be recognized as sponsors of the event, see 6. below.
- a. Is the sponsor of the event registered with the Secretary of the Senate and the Clerk of the House of Representatives as a lobbyist pursuant to Section 1603(a) of Title 2 of the United States Code?
Not applicable.
Of the entities listed in 6 below, Wilson Elser Moskowitz Edelman Dicker LLP is a lobbyist registered with the Secretary of the Senate and the Clerk of the House of Representatives.
6. Names of additional entities that will be recognized for sponsorship or support of the event.
- Jones Day
Pfizer
O'Melveny & Myers LLP
Davis Polk & Wardwell LLP
Kaye Scholer LLP
Patterson Belknap Webb & Tyler LLP
Skadden, Arps, Slate, Meagher & Flom
Charles River Associates
Davis & Gilbert LLP
Ernst & Young LLP
Milbank, Tweed, Hadley & McCloy LLP
Quislex
Cravath, Swaine & Moore LLP
DLA Piper
Lazare Potter & Giacovas LLP
McCarter & English LLP
MetLife
Paul, Weiss, Rifkind, Wharton & Garrison LLP
Proskauer Rose LLP
Simpson Thacher
The Chugh Firm
Tishman Speyer
Weil, Gotshal & Manges LLP

Troutman Sanders
NewOak
Wollmuth Maher & Deutsch LLP
Akerman LLP
Bristol-Myers Squibb Company
Cornerstone Research
Manatt, Phelps & Phillips, LLP
Wilson Elser Moskowitz Edelman Dicker LLP (registered lobbyist)
The Bank of New York Mellon Corporation
Price WaterhouseCoopers

7. How many persons are expected to attend?
Approximately 300 to 350.
8. Demographics of expected attendees (*e.g.*, lawyers; law enforcement; business leaders; students; etc.)
Lawyers, law students, and some non-lawyer business persons.
9. Are any elected officials expected to attend and, if so, what is their expected role?
Judge Karen Gopee, Brooklyn Criminal Court
Judge Ushir Pandit-Durant, Queens County Civil Court
Justice Anil C. Singh, New York Supreme Court
Judge James E. d'Auguste, Supreme Court, Civil Branch, NY County
District Attorney Darcel D. Clark, Bronx County
District Attorney Cyrus R. Vance, Jr., Manhattan County
District Attorney Richard A. Brown, Queens County
District Attorney Michael E. McMahon, Richmond County
District Attorney Kenneth P. Thompson, Kings County

The above individuals are merely distinguished guests of SABANY and have no specific role at the event.

10. Will there be any political activity at the event (*i.e.*, any activity directed toward the success or failure of a political party, candidate for a partisan political office or partisan political group, for example, any speeches urging people to vote for or against a particular candidate or political party)?
No
11. Is there a fundraising component to the event? (*e.g.*, program advertisements; dinner ticket price; silent auction)? If so, please describe.¹

¹ For events that involve any fundraising leading up to or during the event: The United States Attorney may not participate in any active fundraising activity with respect to the event. Nor may the U.S. Attorney allow his name, position, or title to be used for any fundraising activity for your organization. If applicable, you may list his name as an awardee and/or speaker on the program and on invitations issued not more than 30 days prior to the event, along with other

Dinner ticket price and sponsors receive ads in the program.

12. Expected role of U.S. Attorney (*e.g.*, simple attendance; remarks; speech)
Introduction of keynote speaker Judge Sri Srinivasan.

13. If the U.S. Attorney is being honored:
Not applicable.

- a. What are the criteria your organization applies to determine who should be honored for this specific award?
- b. What is the internal process by which your organization selects potential honorees?
- c. For what specific public service or achievement do you wish to honor the U.S. Attorney?
- d. Did any of the accomplishments of the U.S. Attorney which you wish to honor directly affect the organization or its members? (Describe.)
- e. Do any of the board members or host committee or senior staff have any matters pending before or involving the United States Attorney's Office for the Southern District of New York?
- f. Please provide a description and the value of any award item (if the award item is a plaque, certificate or trophy of little intrinsic value, you may so indicate).
- g. Who else is expected to be honored at the event?

14. If the U.S. Attorney is making a presentation, who else is expected to be making a presentation/be on a panel?

Not applicable. US Attorney will make an introduction only.

The Keynote Speaker will deliver a keynote address and the honorees will make short remarks when accepting their awards.

- a. Will the U.S. Attorney be presented with a token or memento in appreciation for his presentation?
 - i. ☐ Nothing
 - ii. ☒ Plaque or other memento of little intrinsic value
 - iii. ☐ Other. Please describe, including its value.

information about the program. He may not be listed on any "save the date" notices. Failure to comply with these limitations may preclude the U.S. Attorney from appearing at the event. If the U.S. Attorney is giving an official speech, he may be seated and have photos taken as appropriate for a speaker at the event, but you may not use his photo in connection with any other fundraising efforts.

15. Describe any special seating arrangements for U.S. Attorney, *e.g.*, dais, general seating (depending on the nature of the event, the U.S. Attorney may or may not be able to accept “dais” seating.)

General dinner table seating only. US Attorney will not be seated on a dais or similar prominent location.

16. Promotion of the event: please provide a copy of or link to the invitation/promotional material for the event (or if not available, for the prior year’s event).

Invitation: <http://sabany.org/gala-1>

Sponsorship Packet (double click on link):



Adobe Acrobat
Document